

# Keep your assets safe and secure

## Registered dealer

Credential Securities is a division of Credential Qtrade Securities Inc., a member of the Investment Industry Regulatory Organization of Canada (IIROC), which sets, monitors and enforces standards for the Canadian investment industry.

## Solid investor protection

Your Credential Securities account is protected by the Canadian Investor Protection Fund (CIPF).

## Partner due diligence

We work only with Portfolio Manager firms that are registered with a Provincial securities commission.

## Deep expertise

We bring over 25 years' experience to our hundreds of successful partnerships with financial institutions across Canada.

## CQ Correspondent Partners is proud to be your Portfolio Manager's recommended custodian partner.

CQ Correspondent Partners is a division of Credential Qtrade Securities Inc. that provides custody, trading, clearing, processing, and reporting services for portfolio managers and independent wealth management firms.

Through CQ Correspondent Partners, your Portfolio Manager gains access to:

- A full range of investment products
- Account management and administration tools
- Leading industry investment research and guidance
- Highly efficient custodian and trading operations

A partnership with us means your Portfolio Manager can focus on what's important: your goals and managing your portfolio.

## Financial strength

Both Credential Securities and CQ Correspondent Partners are divisions of Credential Qtrade Securities Inc., a wholly owned subsidiary of Aviso Wealth Inc., a leading wealth management company with close to \$60 billion in assets under administration and assets under management, decades of experience in financial services, and partnerships with 300 independent financial organizations across Canada. Aviso Wealth is owned by Desjardins, the Credit Union Centrals and CUMIS.

Learn more at [aviso.ca](https://www.aviso.ca)

# Keep your financial future safe



**Credential<sup>®</sup>  
Securities**

Credential Securities and CQ Correspondent Partners are divisions of Credential Qtrade Securities Inc. Credential Securities is a registered mark owned by Aviso Wealth Inc. CCP 2018 02 EN



## A national investment dealer with **extensive experience.**

Credential Securities is a division of Credential Qtrade Securities Inc., which provides full-service and online brokerage, in addition to clearing and custody services, to credit unions and independent financial institutions across Canada.

Credential Qtrade Securities Inc. is registered as an Investment Dealer with all Canadian Securities Commissions and is a member of the following organizations:

- Investment Industry Regulatory Organization of Canada (IIROC)
- Canadian Investor Protection Fund (CIPF)
- Toronto Stock Exchange
- TSX Venture Exchange
- Montréal Exchange
- Canadian Alternative Trading Systems
- Canadian Depository for Securities
- The Depository Trust Company
- Fundserv Inc.

## How we **work**

We are responsible for the safekeeping of your assets, account maintenance, trade settlement, and reporting, including statements and tax reporting.

Your Portfolio Manager is responsible for monitoring and managing your investment portfolio and for trading according to your instructions. Your Portfolio Manager is your key contact and provider of information.

### **Where are your assets held?**

Your account is held in your name with Credential Securities, a division of Credential Qtrade Securities Inc., who is a member company of Aviso Wealth Inc.

### **How can you deposit funds into your account?**

You can deposit funds into your account by cheque, electronic funds transfer, or through the online bill payment facility at your financial institution.

### **How can you withdraw funds?**

You can withdraw funds by submitting a request to your Portfolio Manager.

### **How can you monitor your assets?**

You will receive monthly statements from Credential Securities when there is activity in your account; otherwise, quarterly statements will be issued.

Secure online access to your account allows you to view activity, statements and tax documents at any time.